

PATENT APPLICATION

Sheet 1 of 2

FORM PTO-1449		ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		200401494-1		
(Use several sheets if necessary)		APPLICANT		
		Iddys D. Figueroa et al.	FILING DATE	GROUP
			Mar. 15, 2004	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
jsm	1A 4,088,750	05/09/1978	Cresswell et al.	
	1B 4,826,689	05/02/1989	Violanto et al.	
	1C 4,952,402	08/28/1990	Sparks et al.	
	1D 5,145,684	09/08/1992	Liversidge et al.	
	1E 5,209,933	05/11/1993	MacFarlane et al.	
	1F 5,470,584	11/28/1995	Hendrickson et al.	
	1G 5,512,302	04/30/1996	Atkin et al.	
	1H 5,552,160	09/03/1996	Liversidge et al.	
	1I 5,869,170	02/09/1999	Cima et al.	
	1J 6,346,533	02/12/2002	Cha et al.	
✓	1K 6,592,902	07/15/2003	Thosar et al.	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

jsm	1Q	"Guidance for Industry Q3C Impurities: Residual Solvents", U.S. Department of Health and Human Services, Food and Drug Administration, December 1997
jsm	1R	"Guidance for Industry Q3C - Tables and Lists", U.S. Department of Health and Human Services, Food and Drug Administration, November 2003
	1S	

EXAMINER

DATE CONSIDERED

9/19/05

PATENT APPLICATION

Sheet 2 of 2

FORM PTO-1449		ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
		200401494-1	10K801,381	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLICANT		
(Use several sheets if necessary)		Iddys D. Figueroa et al.	FILING DATE	GROUP
			Mar. 15, 2004	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
<i>JL</i>	2A	6,623,785	09/23/2003	Childers	
<i>JL</i>	2B	2003/0065294	04/03/2003	Pickup et al.	
	2C				
	2D				
	2E				
	2F				
	2G				
	2H				
	2I				
	2J				
	2K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L					
	2M					
	2N					
	2O					
	2P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	
	2R	
	2S	

EXAMINER

Suey K. Meister

DATE CONSIDERED

9/19/05

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 2

Complete if Known

Application Number	10/801381
Filing Date	Mar 15, 2004
First Named Inventor	Iddys Figueroa et al
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	200401494-1

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code ² Number ³ Kind Code ⁴ (if known)	MM-DD-YYYY		T ⁵
John		EP 1 306 071 A2	05-02-2003	Lee et al	
John		WO 03/041690	05-22-2003	Rowe et al	

Examiner Signature *John Miller* **Date Considered** *9/19/05*

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **Applicant's unique citation designation number (optional).** ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language transcription is attached.

Translation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

2

of

2

Complete If Known

Application Number	10/801381
Filing Date	Mar 15, 2004
First Named Inventor	Iddys Figueroa et al
Art Unit	Unknown
Examiner Name	Unknown

Attorney Docket Number

200401494-1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>JLMM</i>		W.E. Katstra et al, "Pulsatory Oral Drug Delivery Devices Fabricated by Three Dimensional Printing", Proceed. Int'l Symp. Control. Rel. Bioact. Mater., 26, July 1999	
<i>JLMM</i>		W. E. Katstra et al, "Oral Dosage Forms Fabricated by Three Dimensional Printing", Jnl of Controlled Release, 66, 2000, pp 1-9	

Examiner Signature	<i>Jenifer K. Michay</i>	Date Considered	9/19/05
--------------------	--------------------------	-----------------	---------

*EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.